



Case: 14114ROUS01U

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**IN RE PATENT APPLICATION OF:** Derek L. CHEUNG et al.

**SERIAL NO:** 09/817,796

**FILED:** March 27, 2001

**GROUP ART UNIT:** 2643

**SUBJECT:** MANAGEMENT SYSTEM FOR A TELECOMMUNICATIONS SWITCH

THE COMMISSIONER OF PATENTS,  
WASHINGTON, D.C., 20231, U.S.A.

Sir:

**RESPONSE TO NOTICE TO FILE MISSING PARTS**

This letter is in response to the Notice to File Missing Parts of Non-Provisional Application mailed May 10, 2001, asking Applicant to provide the executed Declaration and filing fees.

- 1) We enclose the Declaration herewith, duly executed by the inventors,
- 2) With reference to the outstanding filing fee of \$710.00, Applicant authorized the Office to withdraw the filing fee of \$710.00 at the time the application was filed. Applicant's deposit account information was provided in the transmittal letter dated March 26, 2001 (copy attached).

Applicant however has ascertained from the USPTO Monthly Statement of Deposit Account records that the Office has not withdrawn the filing fee.

The Office is hereby authorized to deduct the total fee the filing fee of \$710.00 and the surcharge of \$130.00, for a total of **\$920.00 from Applicant's Deposit Account No. 14-1315;**

- 3) We enclose a copy of a Revocation and Appointment of Agent, the original of which is being filed herewith under separate cover; and
- 4) Also enclosed is a copy of the Notice to File Missing Parts is also enclosed herewith.

Respectfully Submitted,  
Derek L. CHEUNG et al.

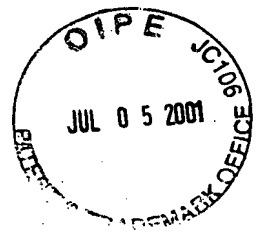
By:   
Allan P. Millard  
Registration No. 43,397

C/O Nortel Networks  
IP Law Group  
P.O. Box 3511, Station "C" Ottawa,  
Ontario, Ontario K1Y 4H7  
Canada

Phone: 1-613-768-2114

Date: July 3, 2001

IN THE UNITED STATES PATENT & TRADEMARK OFFICE  
UTILITY PATENT APPLICATION TRANSMITTAL UNDER 37 CFR §1.53(b)



To: Assistant Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231, USA

Docket No.: 14114ROUS01U

Inventor(s): Derek L. CHEUNG et al.

The following are enclosed for filing this nonprovisional application relating to:

**MANAGEMENT SYSTEM FOR A TELECOMMUNICATIONS SWITCH**

☐ CONTINUING APPLICATION. This is a ☐ Continuation ☐ Divisional ☐ Continuation-in-part of prior Application No. \_\_\_\_\_

☐ A Certified Copy of the application(s) from which this application claims priority under 35 U.S.C. §119 has been filed in the prior application identified above.

☐ Copy of assignment(s) to Nortel Networks, recorded with respect to the prior application identified above.

☒ Specification, including Claims and Abstract

Pages: 20

☒ Drawings

Sheets: 3

☒ Oath or Declaration

Pages: 1

☒ New

or ☐ Copy from the prior application identified above (for cont./div., 37 CFR §1.63(d))

☐ Signed statement attached deleting inventor(s) named in the prior application

☐ The entire disclosure of the prior application is considered as being part of the disclosure of the accompanying application and is hereby incorporated therein by reference.

☐ Certified Copy of Priority Document (if foreign priority is claimed)

☐ Assignment Papers (cover sheet(s) and document(s)). Please record and return to the undersigned.

☐ Information Disclosure Statement (IDS)/PTO-1449

☐ Copies of IDS Citations

☐ Preliminary Amendment. Fees are calculated below after entry of any preliminary amendment.

☒ Return Receipt Postcard

☐ Other:

**FEES:** Basic Fee: \$710.00  
Assignment(s): 1 x \$40.00 = \$40.00  
☐ Multiple Dependent Claims  
Total Claims: 15 - 20 = -- x \$18.00 =  
Independent Claims: 4 - 3 = 1 x \$80.00 = \$80.00  
**TOTAL FEE: \$830.00**

The Assistant Commissioner is hereby authorized to charge the following fees, and to charge any additional fees which may be required or credit any overpayment, to **Deposit Account No. 14-1315:**

☒ Fees required under 37 CFR §1.116 including the Total Fee calculated above.

☐ Fees required under 37 CFR §1.117 (Patent Application Processing Fees).

**CORRESPONDENCE ADDRESS:**

Greg W. Benoit  
NORTEL NETWORKS LIMITED  
Intellectual Property Law Group  
P.O. Box 3511, Station "C"  
Ottawa, Ontario, Canada K1Y 4H7  
Phone: 613-768-3038  
Fax: 613-768-3017  
Date: March 26, 2001

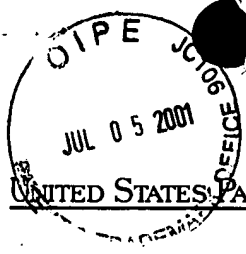
Yours very truly  
Derek L. CHEUNG et al.

By

Greg W. Benoit,  
Patent Agent

Registration No. P-48067

<b>Certificate of Mailing</b>	
I hereby certify that this correspondence is being deposited with Fedex Overnight Courier Service, Reference Waybill No. <u>7900 0628 7356</u> , in an envelope addressed to:	
UNITED STATES PATENT & TRADEMARK OFFICE Correspondence and Mail Division Crystal Plaza, Building #2, Room 1A01 ARLINGTON, Virginia 22202, U.S.A.	
on: March 26, 2001	 Denise Lamirande (name of person signing Certificate)



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/817,796	03/27/2001	Derek L. Cheung	14114ROUS01U

CONFIRMATION NO. 8355

## FORMALITIES LETTER



\*OC000000006061354\*

Greg W. Benoit  
NORTEL NETWORKS LIMITED  
Intellectual Property Law Group  
P.O. Box 3511, Station "C"  
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CANADA

Date Mailed: 05/10/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$80.
  - \$80 for 1 independent claims over 3 .
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 920.**

*A copy of this notice **MUST** be returned with the reply.*

H.T

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

07/09/2001 EEKUBAY1 00000350 141315 09817796  
PART 2 - COPY TO BE RETURNED WITH RESPONSE

01 FC:101	710.00 CH
02 FC:102	80.00 CH
03 FC:105	130.00 CH